(ICS 43.020; 43.040)

TECHNICAL REFERENCE

Autonomous vehicles

- Part 2 : Safety





(ICS 43.020; 43.040)

TECHNICAL REFERENCE

Autonomous vehicles

- Part 2 : Safety

Published by Enterprise Singapore

All rights reserved. Unless otherwise specified, no part of this Technical Reference may be reproduced or utilised in any form or by any means, electronic or mechanical, including photocopying and microfilming, without permission in writing from Enterprise Singapore. Request for permission can be sent to: standards@enterprisesg.gov.sg.

© Enterprise Singapore 2021

ISBN 978-981-5024-06-7

The content of this Technical Reference was approved on 14 July 2021 by the Manufacturing Standards Committee (MSC) under the purview of the Singapore Standards Council.

First published, 2019 First revision, 2021

MSC consists of the following members:

		Name	Representation
Chairman	:	Dr John Yong	Individual Capacity
Deputy Chairman	:	Mr Brandon Lee	Individual Capacity
Secretary	:	Mr Louis Lauw	Singapore Manufacturing Federation – Standards Development Organisation
Members	:	Dr Gavin Chua	Science Engineering Research Council
		Assoc Prof Goh Puay Guan	National University of Singapore
		Dr Andrea Hauser	TÜV SÜD Asia Pacific Pte Ltd
		Mr Steven Koh	Singapore Precision Engineering Technology Association
		Dr Jim Li Hui Hong	Individual Capacity
		Dr Lim Ee Meng	National Metrology Centre
		Mr Simon Lim	Enterprise Singapore
		Prof John Pang	Nanyang Technological University
		Dr Alpesh Patel	McKinsey & Company
		Ms Joyce Seow	Singapore Manufacturing Federation
		Assoc Prof Arlindo Silva	Singapore University of Technology and Design
		Mr Sze Thiam Siong	Testing, Inspection and Certification Interest Group (TIC IG), Singapore Manufacturing Federation
		Ms Glory Wee	Economic Development Board

MSC set up the Technical Committee on Automotive to oversee the preparation of this standard. The Technical Committee consists of the following members:

		Name	Representation
Co- Chairmen	:	Mr Lam Wee Shann Prof Marcelo H Ang Jr	Individual Capacity Individual Capacity
Secretary	:	Mr Louis Lauw	Singapore Manufacturing Federation – Standards Development Organisation
Members	:	Mr Niels de Boer	Centre of Excellence for Testing & Research of Autonomous Vehicles – NTU
		Mr Alvin Chia	Land Transport Authority
		Mr Chandrasekar s/o Palanisamy	Land Transport Authority
		Dr Chin Kian Keong	Land Transport Authority
		Dr Jaya Shankar s/o Pathmasuntharam	Institute for Infocomm Research

Members: Mr Lim Soon Chia Cyber Security Agency of Singapore

Mr Ling Yuan Chun Economic Development Board

Mr Peter Quek

Mr Mark Tan

Ministry of Transport

Mr Tan Nai Kwan

Mr Mahesh Tanwani

Dr Vrizlynn Thing

Land Transport Authority

Ministry of Transport

ST Engineering Limited

Motional Singapore Pte. Ltd

ST Engineering Limited

The Technical Committee set up the Working Group on AV Safety to prepare this standard. The Working Group consists of the following experts who contribute in their *individual capacity*:

Name

Co-

Convenors : Mr Alvin Chia

Mr Niels de Boer

Secretary : Mr George Zhang
Members : Mr Bijoy Bhaskaran

Mr Gadam Sivakumar

Mr Ganesh Balasubramaniam

Mr Isaac Loh

Mr Ivan Pang Fui Eng Mr Dennis Poon Mr Stéphane Romei Mr Shahri Bin Ariff Ms Siow Seet Ting Mr Roy A. de Souza

Mr Rinaldo Christian Tanumara

Ms Andrea Teo

Mr Venkatesan Perianan V

Co-opted Member

Member : Ms Shanmugapriya Sankar

The organisations in which the experts of the Working Group are involved are:

Centre of Excellence for Testing & Research of Autonomous Vehicles - NTU

IRT SystemX / SYSTRA Land Transport Authority

SBS Transit Limited

Siemens Mobility Pte Ltd

SMRT Corporation Ltd

ST Engineering Limited

TÜV SÜD Asia Pacific Pte Ltd

Contents

Forev	vord
0	Introduction
1	Scope
2	Normative references
3	Terms and Definitions
4	Assumptions
5	Quality management system
5.1	Overview
5.2	Full quality assurance
5.3	Configuration management
5.4	Change management
5.5	Autonomous vehicle testing culture
5.6	Non-conformance and corrective actions
6	Safety management system
6.1	Overview
6.2	Design control requirements: AV definition and Singapore scope of operations _
6.3	Hazard analysis and risk assessment (HARA)
6.4	System safety and safety of the intended functionality (SOTIF)
6.5	Risk mitigation strategy
6.6	Validation
6.7	Safety of software updates
6.8	Safety-related application conditions
6.9	Minimum equipment list (MEL)
7	Human machine interface (HMI) within safety systems
8	Artificial intelligence (AI) within safety systems
8.1	General
8.2	Safety of functionality achieved with machine learning
9	Recommendation of an assessment plan for complex operations
Anne	exes
Α	Use cases for Singapore
В	Operational design domain
С	Reference assessment plan for a complex operation

		Page
Table		
C.1	General guidance for specific tasks	_ 43
Figure	es	
1	Scope chart	_ 10
2	Process flow chart of DDT	_ 12
3	Overview of the quality management system	_ 16
4	Overview of the safety management system	_ 21
5	Safety of the intended functionality (SOTIF)	26

Foreword

This Technical Reference (TR) was prepared by the Working Group on AV Safety set up by the Technical Committee on Automotive under the purview of MSC.

TR 68 series of standards is intended to support the development of Autonomous Vehicle (AV) technology and deployments. It consists of the following parts under the generic title "Autonomous vehicles":

Part 1 - Basic behaviour

Sets out fundamental behaviours AVs should exhibit while driving on public roads in order to co-exist safely with entities on the roads such as other vehicles, cyclists, and pedestrians.

Part 2 - Safety

Sets out the safe design and continuing safety management process requirements, supported by competent personnel and organisational quality certifications that organisations can have in place so that the AVs driving on public roads are inherently safe and behave in the manner that they are designed to

Part 3 – Cybersecurity principles and assessment framework

Sets out principles and assessment framework for organisations to support development and management of AVs. The assessment framework is intended to provide a cybersecurity safeguard for AVs to satisfy prior to on-road deployment.

Part 4 – Vehicular data types and formats

Sets out what data, resolution, capture frequency and the format in which they are transmitted so that there is seamless communication between the sending party and the receiving party.

This TR is a provisional standard made available for application over a period of three years. The aim is to use the experience gained to update the TR so that it can be adopted as a Singapore Standard. Users of the TR are invited to provide feedback on its technical content, clarity and ease of use. Feedback can be submitted using the form provided in the TR. At the end of the three years, the TR will be reviewed, taking into account any feedback or other considerations, to further its development into a Singapore Standard if found suitable.

The main changes made in this revision are as follows:

- a) Updated the definitions,
- b) Added topic "Design control requirements: Autonomous vehicles definition and Singapore scope of operations" as 6.2.
- c) Added topic "Minimum Equipment List" as 6.9,
- d) Added topic "Artificial Intelligence (AI) within safety systems" as Clause 8.

In preparing this TR, reference was made to ISO/PAS 21448:2019, "Road vehicles – Safety of the intended functionality". Figure 5 of this TR was reproduced from ISO/PAS 21448 with the kind permission of the International Organization for Standardization (ISO). ISO standards can be purchased from Enterprise Singapore.

Attention is drawn to the possibility that some of the elements of this TR may be the subject of patent rights. Enterprise Singapore shall not be held responsible for identifying any or all of such patent rights.

NOTE

- Singapore Standards (SSs) and Technical References (TRs) are reviewed periodically to keep abreast of technical changes, technological developments and industry practices. The changes are documented through the issue of either amendments or revisions. Where SSs are deemed to be stable, i.e. no foreseeable changes in them, they will be classified as "Mature standards". Mature Standards will not be subject to further review, unless there are requests to review such standards.
- 2. An SS or TR is voluntary in nature except when it is made mandatory by a regulatory authority. It can also be cited in contracts making its application a business necessity. Users are advised to assess and determine whether the SS or TR is suitable for their intended use or purpose. If required, they should refer to the relevant professionals or experts for advice on the use of the document. Enterprise Singapore and the Singapore Standards Council shall not be liable for any damages whether directly or indirectly suffered by anyone or any organisation as a result of the use of any SS or TR. Although care has been taken to draft this standard, users are also advised to ensure that they apply the information after due diligence.
- 3. Compliance with a SS or TR does not exempt users from any legal obligations.

Technical Reference for autonomous vehicles – Part 2: Safety

0 Introduction

Safety is one of the key issues of future automobile development. With more functionalities being developed, there is a need to address the systems engineering aspect of these developments to facilitate the introduction of autonomous driving.

This TR describes a set of minimal safety provisions to be met by autonomous vehicle developers, original equipment manufacturers (OEMs) and/or operators at the organisational level that align with international practices, while taking into consideration local conditions. These are applicable to processes that impact the safety of the autonomous systems, including systems for managing one or more autonomous vehicle (AVs) operating on public roads and are intended to cover automated driving system (ADS) and vehicles that operate or aim to operate as defined in SAE J3016.

This TR is applicable to the following stakeholders:

- Public or private entities which design and/or manufacture and/or procure and/or install and/or test and/or commission AV technologies, systems and/or solutions;
- Public or private entities which use AVs and/or are in charge of the operation and/or maintenance of AVs and provides transportation services in public areas; and
- Independent bodies which check and/or assess AV technologies, systems and/or solutions and/or the operation and maintenance of AVs.

Automation driving levels, ADS, operational design domain (ODD) and dynamic driving task (DDT) are defined in SAE J3016.

1 Scope

The TR specifies the safety provisions for AVs deployed on public roads. It covers the use case of deployment in Singapore.

This TR can be subdivided into two major fields:

- a) Design and production quality; and
- b) Safe operation in the context of specific applications in Singapore.

This TR stipulates system-level safety in order to ensure that:

- a) functional and operational safety requirements of AVs are met;
- b) system safety is applicable to the operation design domain in which the AV operates;
- c) AV developer, system integrator and system operator are competent organisations with an appropriate quality management system in place supported by competent personnel; and
- d) appropriate safety goals are in place to guide safety assurance at the system level.

This TR does not differentiate between vehicles being built from scratch and conventional homologated vehicles, which have been equipped with additional ADS technology (see SAE J3016) to increase the supported level of driving automation within SAE J3016's levels.

Refer to Figure 1 for the scope chart.