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(ICS 03.120.20)

**TECHNICAL REFERENCE**

# Conformity assessment – Requirements for bodies providing audit and certification of management systems

– Part 10 : Competence requirements for auditing and certification of occupational health and safety management systems

Singapore Standards Council

**TR ISO/IEC TS 17021-10:2022**
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# Conformity assessment – Requirements for bodies providing audit and certification of management systems

-- Part 10 : Competence requirements for auditing and certification of occupational health and safety management systems

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## National Foreword

This Technical Reference (TR) was prepared by the Working Group on Management Systems and Others (CASCO) set up by the Technical Committee on Conformity Assessment (CASCO) under the purview of the Quality and Safety Standards Committee.

This TR is an identical adoption of ISO/IEC TS 17021-10:2018, "Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 10: Competence requirements for auditing and certification of occupational health and safety management systems", published by the International Organization for Standardization and the International Electrotechnical Commission.

NOTE 1 – Where appropriate, the words "Technical Specification / Report" are read as "Technical Reference".

NOTE 2 – Reference to International/Overseas Standards are replaced by applicable Singapore Standards or Technical References.

This TR is a provisional standard made available for application over a period of three years. The aim is to use the experience gained to update the TR so that it can be adopted as a Singapore Standard. Users of the TR are invited to provide feedback on its technical content, clarity and ease of use. Feedback can be submitted using the form provided in the TR. At the end of the three years, the TR will be reviewed, taking into account any feedback or other considerations, to further its development into a Singapore Standard if found suitable.

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# Conformity assessment — Requirements for bodies providing audit and certification of management systems —

## Part 10: Competence requirements for auditing and certification of occupational health and safety management systems

*Évaluation de la conformité — Exigences pour les organismes procédant à l'audit et à la certification des systèmes de management —*

*Partie 10: Exigences de compétence pour l'audit et la certification des systèmes de management de la santé et de la sécurité au travail*

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# Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of conformity assessment, ISO and IEC develop joint ISO/IEC documents under the management of the ISO Committee on Conformity assessment (ISO/CASCO).

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

This document prepared by Project Committee ISO/PC 283, *Occupational health and safety management systems*, and the ISO Committee on conformity assessment (CASCO). It was circulated for voting to the national bodies of both ISO and IEC, and was approved by both organizations.

A list of all parts in the ISO/IEC 17021 series can be found on the ISO website.


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# Introduction

ISO 45001 provides a framework to enable organizations to provide safe and healthy workplaces by preventing work-related injury and/or ill health to workers as well as by proactively improving its occupational health and safety (OH&S) performance. OH&S management system certification personnel need to have competencies described in ISO/IEC 17021-1:2015, as well as specific OH&S management system competencies described in this document.

This document complements the requirements in ISO/IEC 17021-1:2015, including the guiding principles in ISO/IEC 17021-1:2015, Clause 4. In particular, it clarifies the requirements for the competence of personnel involved in the certification process set out in ISO/IEC 17021-1:2015, Annex A.

Certification bodies have a responsibility to interested parties, including their clients and the customers of the organizations whose management systems are certified, to ensure that OH&S management system certification is credible by only using certification personnel that have demonstrated relevant competence.

In this document, the following verbal forms are used:

— “shall” indicates a requirement;

— “should” indicates a recommendation;

— “may” indicates a permission;

— “can” indicates a possibility or a capability.

Further details can be found in the ISO/IEC Directives, Part 2.

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# Conformity assessment — Requirements for bodies providing audit and certification of management systems —

# Part 10: Competence requirements for auditing and certification of occupational health and safety management systems

## 1 Scope

This document specifies additional competence requirements for personnel involved in the audit and certification process for an occupational health and safety (OH&S) management system and complements the existing requirements of ISO/IEC 17021-1.

Three types of personnel and certification functions are defined:

— auditors;

— personnel reviewing audit reports and making certification decisions;

— other personnel.

NOTE This document is applicable for auditing and certification of an OH&S management system based on ISO 45001. It can also be used for other OH&S applications.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 17021-1:2015, *Conformity assessment — Requirements for bodies providing audit and certification of management systems — Part 1: Requirements*

ISO 45001, *Occupational health and safety management systems — Requirements with guidance for use*

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